

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In application of: Grubbs et al.	: Group Art Unit:
Serial No:	: Intellectual Property Law Department
Filed:	: International Business Machines Corp.
Confirmation No.:	: 11400 Burnet Road
	: Austin, Texas 78758
Title: AUTOMATED ELECTRONIC MESSAGE FILING SYSTEM	

DATE: 1/19/04

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of the one item required to be included with PTO-1449 is supplied herewith. The requirement to include copies of U.S. patents is waived. See 37 CFR 1.491(b).

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Amy Pattillo", with a long horizontal flourish extending to the right.

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In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

Serial No. _____

Applicant: Keohane et al.

Filing Date: _____

Group: _____

Atty. Docket No. AUS920030921US1

Reference Designation

U. S. PATENT DOCUMENTS

<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub- class</u>	<u>Filing Date if Appropriate</u>
____AA	US6,609,138B1	8/19/2003	E-MAIL LIST ARCHIVING AND MANAGEMENT	707	204	3/08/1999
____AB	US6,587,549B1	7/01/2003	DEVICE FOR AUTOMATICALLY INCOMING ELECTRONIC MAIL (=E-MAIL)	379	93.24	5/09/2000
____AC	US2003/0065728A1	4/03/2003	FILING AND RETRIEVAL OF E- MAIL INTO PERSON ASSOCIATED FOLDERS	709	206	9/09/2002
____AD	US2003/0033271A1	2/13/2003	TRANSFER OF MAIL FOLDERS BETWEEN E-MAIL USERS	707	1	9/09/2001
____AE	US2002/0133557A1	9/19/2002	SORTING E-MAIL	709	207	4/03/2001
____AF	US 6,396,513 B1	5/28/2002	ELECTRONIC MESSAGE SORTING AND NOTIFICATION SYSTEM	345	752	5/14/1996
____AG	US 6,226,630 B1	5/1/2001	METHOD AND APPARATUS FOR FILTERING INCOMING INFORMATION USING A SEARCH ENGINE AND STORED QUERIES DEFINING USUER FOLDERS	707	3	7/22/1998

FOREIGN PATENT DOCUMENTS

<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Country</u>	<u>Class</u>	<u>Subclass</u>	<u>Translation</u>
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner
Initial

Date Considered:

Examiner:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.